

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

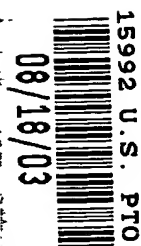
**REQUEST FOR FILING A NEW NONPROVISIONAL APPLICATION UNDER  
37 C.F.R. § 1.53(b)**

Sir:

This is a request for filing a new nonprovisional application under 37 C.F.R. § 1.53(b),  
of Swapan Roy, John Krupey, and Matthew Kuruc  
(inventor(s))

for Compositions And Methods For Proteomic Investigations  
(title of invention)

- ☒ 1. Please find enclosed the Specification and Drawings (Total Pages: 56)  
Specification (Pages 1 to 44), Claims (Pages 45 to 55), and Abstract (Page 56);  
and  
☐ Formal Drawings (---Sheet; Figures 1 to ---).  
☒ Informal Drawings (9 Sheets; Figures 1 to 10).
- ☒ 2. Please find enclosed the Declaration and Power of Attorney  
  
☒ The Declaration uses an Application Data Sheet  
☒ Unsigned  
☐ Signed
- ☐ 3. Please find enclosed Applicants' Information Disclosure Statement (IDS)  
☐ Copy of IDS and PTO-1449A (--- Pages)  
☐ Copies Of References Cited
- ☐ 4. Please find enclosed a Nonpublication Request under 35 U.S.C. 122(b)(2)(b)(i).
- ☐ 5. Please find enclosed Assignment Papers  
☐ Recordation Form Cover Sheet (PTO-1595)



☐ Assignment Document

☒ 6. Please find enclosed Statement Claiming Small Entity Status

- ☒ Claiming Small Entity as a Person (37 C.F.R. § 1.27(a)(1)).
- ☐ Claiming Small Entity as a Small Business Concern (37 C.F.R. §1.27(a)(2)).
- ☐ Claiming Small Entity as a Nonprofit Organization (37 C.F.R. §1.27(a)(3)).

☒ 7. This application claims the benefit of priority of provisional application U. S. Ser. No. 60/403,747 for which the period of pendency is extended to the next succeeding secular or business day, August 18, 2003. (35 USC 119(e)(3)).

☐ 8. This application contains nucleic acid and/or amino acid sequences required to be disclosed in a Sequence Listing under 37 C.F.R. §§1.821-1.825. Please find enclosed a Sequence Listing and Computer Readable Form. The undersigned asserts that the contents of the Sequence Listing and the Computer Readable Form are identical.

☒ 9. The filing fee is calculated below:

|                    |    |     |    |  |                   |
|--------------------|----|-----|----|--|-------------------|
| Total Claims       | 36 | -20 | 16 | \$18.00  | \$288.00          |
| Independent Claims | 1  | -3  | 0  | \$84.00  | \$0.00            |
|                    |    |     |    | Basic Fee  | \$750.00          |
|                    |    |     |    | Multiple Dependency Fee If Applicable (\$280.00)   | \$0.00            |
|                    |    |     |    | <b>Total</b>   | <b>\$1,038.00</b> |
|                    |    |     |    | 50% Reduction for Person, Nonprofit Organization or Small Business Concern If Applicable | -\$519.00         |
|                    |    |     |    | <b>Total Filing Fee</b>  | <b>\$519.00</b>   |

☒ 10. Please find enclosed check No. 1045 in the amount of \$519.00 is enclosed in payment of the filing fee.

☒ 11. The Commissioner is hereby authorized to charge the required filing fee, or any underpayment thereof, or to credit any overpayment thereof, to Proteus Patent Practice LLC Deposit Account No. 502572, Ref. No. 406-01.

- ☒ 12. Please find enclosed a Return Receipt Post Card.

Respectfully submitted,

Date: Aug. 18, 2003

Henry E. Auer 39,096  
Henry E. Auer (Reg. No.)

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                       |  |                 |                     |
|-----------------------|--|-----------------|---------------------|
| Application No.:      | <b>Not Assigned</b>  | Group Art Unit: | <b>Not Assigned</b> |
| Filing Date:          | <b>Not Assigned</b>  | Examiner:       | <b>Not Assigned</b> |
| Inventor:             | <b>Roy et al.</b>  |                 |                     |
| Title of Application: | <b>Compositions And Methods For Proteomic Investigations</b> |                 |                     |

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Commissioner for Patents  
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**LETTER OF TRANSMITTAL**

Dear Sir:

This communication includes the following items:

- ☒ Regular Utility Application Entitled "Compositions And Methods For Proteomic Investigations",
- ☒ Request For Filing A New Nonprovisional Application Under 37 C.F.R. § 1.53(b),
- ☒ Statement Asserting Small Entity Status Under § 37 CFR 1.27,
- ☒ Combined Declaration (37 CFR 1.63) Using An Application Data Sheet (37 CFR 1.76) And Power Of Attorney For Utility Patent Application,
- ☒ Application Data Sheet,
- ☒ Check No. 1045 for \$519.00 in payment of the filing fee, and
- ☒ Return Receipt Post Card.

Respectfully submitted,

Date: Aug. 18, 2003

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Henry E. Auer (Reg. No.)

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